Privacy Policy

Empira Asset Management GmbH

This Privacy Policy describes how Empira Asset Management GmbH processes personal data. It also explains the choices that you have in relation to your personal data ("your rights") and how you can contact us.

DATA CONTROLLER

The data controller within the meaning of the General Data Protection Regulation (GDPR) is Empira Asset Management GmbH, Martin-Luther-Ring 12, D-04109 Leipzig, email: info-am@empira-invest.com, telephone: +49 341 9897830, fax: +49 341 98978399

Empira Asset Management GmbH takes the protection of your personal data very seriously. We process personal data that we obtain during or in connection with our business relationship with you in compliance with the applicable data protection regulations. Such personal data includes, for example, your name, address, email address and telephone number. We do not publish your data or pass it on to third parties without authorization. Below we explain what data we process and for what purposes.

1. DATA PROCESSING

Any use of your personal data is solely for the specified purposes and to the extent necessary to achieve such purposes. Personal data is only transferred to government institutions or authorities in connection with mandatory national legislation or if such transfer is necessary for the purposes of law enforcement and prosecution in the event of attacks on our network infrastructure. Data is not transferred to third parties for any other purpose.

Communication by email/post

If you send queries to us via email or by post, we will store the data you provide in your query, including the contact details you supply, for the purposes of processing and responding to the query and in case of any follow-up questions.

The data you provide is thus processed solely on the basis of your consent (Art. 6, para. 1 (a) GDPR). You may withdraw this consent at any time by sending us an informal email. Withdrawal of consent does not affect the lawfulness of any data processing carried out prior to withdrawal.

We will retain the data you transfer until you ask us to delete it, you withdraw your consent to its storage, or the purpose of the data storage ceases to apply (e.g. once your query has been processed). This does not affect mandatory statutory regulations – particularly retention periods.

We will not pass on your data to third parties, unless this is strictly necessary in order to answer your query or permitted or required by law.

Customer and contract data

We process and use personal data only to the extent required for the establishment, structuring or amendment of the legal relationship (contract data). This is based on Art. 6, para. 1 (b) GDPR, which permits data processing for the performance of a contract or in order to take steps prior to entering into a contract.

The collected customer data is deleted after completion of the contract or the end of the business relationship. This does not affect statutory retention periods.

https://www.empira-invest.com/files/empira/pdf/EAM Datenschutzerklaerung.pdf

Privacy Policy

Empira Asset Management GmbH

Job applications

Empira Asset Management GmbH obtains personal data such as resumés from you in connection with job applications, whatever form the applications take. The data you provide is processed on the basis of Art. 6, para. 1 (b) GDPR. Empira Asset Management GmbH may use this personal data within the company for the purposes of deciding on an appointment. In the case of applications that do not result in employment, the documents are deleted after completion of the application process. The application documents are only stored for later selection processes if you give your prior consent.

Personal data is not recorded or processed apart from in the above cases, unless you give your explicit consent to additional processing.

2. RETENTION PERIOD AND ERASURE

Your data will only be stored as long as necessary to comply with statutory retention periods for the relevant task.

Once the purpose of the processing has been achieved and any regulatory requirements have expired, we will delete your personal data.

3. SECURITY

Empira Asset Management GmbH implements technical and organizational security measures to protect the personal data that we manage against accidental or intentional manipulation, destruction, or access by unauthorized persons. We continuously improve our security measures in line with technological developments.

SSL/TLS encryption

For security reasons and to protect the transmission of confidential content that you send to us as the site operator, this site uses SSL/TLS encryption. You can identify an encrypted connection from the fact that the browser URL will read https:// rather than http:// and from the padlock icon in your browser bar. If SSL/TLS encryption is activated, the data that you transfer to us cannot be read by third parties.

Please note that unwanted access to data by third parties can still occur due to the structure of the internet. It is therefore your responsibility to protect your data against misuse, through encryption or by another means. If the relevant protection measures are not taken, transmitted data may be read by third parties; this applies in particular to unencrypted data, even if this is sent via email.

4. RIGHTS OF DATA SUBJECTS AND CONTACT DETAILS

a) Withdrawal of your consent to data processing

Some forms of data processing are only possible with your explicit consent. You may withdraw your consent at any time.

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1/4

Privacy Policy

Empira Asset Management GmbH

b) Right to object to data collection in certain cases

Every data subject has the right, on grounds relating to their particular situation, to object at any time to the processing of personal data concerning them on the basis of Art. 6, para. 1 (e) or (f) GDPR.

In the event of an objection, Empira Asset Management GmbH will no longer process the personal data, unless we can demonstrate compelling legitimate grounds for the processing which override the interests, rights and freedoms of the data subject or the processing serves the establishment, exercise or defense of legal claims (right to object in accordance with Art. 21, para. 1 GDPR).

c) Right to lodge a complaint with the responsible supervisory authority

In the event of data protection infringements, you have the right to lodge a complaint with the responsible supervisory authority.

d) Right to data portability

You have the right to request transfer of data that we automatically process on the basis of your consent or in the performance of a contract to you or to a third party in a commonly used, machine-readable format

e) Information, blocking, erasure and rectification

In accordance with the applicable statutory requirements, you have the right at any time to obtain, free of charge, information about your personal data that we store, its origins and recipients, and the purpose of data processing. You also have the right to rectification, blocking or erasure of this data.

f) Right to restriction of processing

You have the right to request that the processing of your personal data be restricted. The right to restrict processing applies under any of the following conditions:

- You contest the accuracy of the personal data that we are storing. You have the right to request
 that processing of your personal data be restricted while the accuracy of your personal data is
 being verified.
- The processing of your personal data is unlawful. In such cases, you may request restriction of data processing instead of erasure.
- Your personal data is no longer required. However, if you require this data for the exercise, defense or assertion of legal claims, you have the right to request restriction of data processing instead of erasure.
- An objection to processing has been submitted in accordance with Art. 21, para. 1 GDPR and it
 has not yet been determined whether the controller's legitimate grounds override yours.

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Privacy Policy

Empira Asset Management GmbH

If you wish to exercise your rights in relation to your personal data or if you have any further questions about how the data you provide to us is used, please contact us as follows:

Empira Asset Management GmbH

Martin-Luther-Ring 12 D-04109 Leipzig

Email: info-am@empira-invest.com

5. CONTACT DETAILS FOR THE DATA PROTECTION OFFICER

Gerd Büttner | my-dsb.com

Neue Mainzer Strasse 6–10, D-60311 Frankfurt Email: Datenschutzbeauftragter@empira-invest.com

6. VALIDITY AND UP-TO-DATENESS OF THE PRIVACY POLICY

The Privacy Policy is dated September 2021 and is currently valid.

It may become necessary to change this Privacy Policy due to the development of our services, implementation of new technologies or changes in statutory or regulatory requirements.

Empira Asset Management GmbH reserves the right to update the Privacy Policy at any time, with such updates taking immediate effect. You can access and print out the latest version of the Privacy Policy at

https://www.empira-invest.com/files/empira/pdf/EAM Datenschutzerklaerung.pdf.

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3/4